

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : Jesper Kiehn et al.

Group Art Unit: 2136

Serial No. : 10/815,052

Examiner: B. S. Hoffman

Filed : March 31, 2004

For : SYSTEM AND METHOD FOR
PROVIDING REA MODEL BASED
SECURITY

Docket No. : M61.12-0615

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CERTIFICATION OF TELEFACSIMILE TRANSMISSION

Commissioner for Patents
P.O. Box 1450
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(703) 872-9306

Sir:

I certify that the following papers are being telefacsimile transmitted to the U.S. Patent and
Trademark Office on the date shown below:

1. Supplemental Information Disclosure Statement.
2. International Search Report.

WESTMAN, CHAMPLIN & KELLY, P.A.

Date: March 22, 2005By: John D. Veldhuis-Kroeze

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8 PAGES - INCLUDING COVER PAGE

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

(703) 872-9306

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. §1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of US Patents and Publications.

Submitted herewith is a copy of an Official Search Report of the PCT Office in counterpart foreign application No. PCT/US04/23829 filed July 23, 2004.

TIME OF FILING

The information disclosure statement is being filed:

1. ☐ with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.
2. ☒ after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. §1.113 or notice of allowance under 37 C.F.R. §1.311. Therefore, in

-2-

accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. X a statement as specified in 37 C.F.R. § 1.97(e).
B. ___ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).

3. ___ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
A. a statement as specified in 37 C.F.R. § 1.97(e);
B. the petition fee set forth in 37 C.F.R. § 1.17(p).

STATEMENT

The person(s) signing below certify

- X that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

- ___ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

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METHOD OF PAYMENT

X No fee is required.
____ Attached is a check in the amount of \$____.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: 

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Sheet 1 of 1

FORM PTO-1449	Atty. Docket No.: M61.12-0615	Appl. No.:
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor: Jesper Kiehn et al.	
	Filing Date Herewith	Group Art:

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	2004/0205355	10/2004	Boozer et al.	713	200	
AB	6,173,404	1/2001	Colburn et al.	713	200	
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AL						
AM						
AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
AP	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.